

Case Docket No. GNE.2930R1C9
Date: May 14, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Botstein et al.

Appl. No.

10/033,223

Filed

December 27, 2001

For

SECRETED AND

TRANSMEMBRANE POLYPEPTIDES AND

NUCLEIC ACIDS ENCODING

THE SAME

Examiner

Unknown

Group Art Unit:

1656

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

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neMarie Kaiser, Reg. No. 37,649

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TRANSMITTAL LETTER

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with five (5) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

AnneMarie Kaiser Registration No. 37,649

Attorney of Record

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PATENT

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Botstein et al.)	Group Art Unit: 1656
App. No.	:	10/033,223)	
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For	:	SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING HE SAME)))	
Examiner	:	Unknown)	RECEIVED MAY 2 1 2002

INFORMATION DISCLOSURE STATEMENT

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United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

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Attorney of Record

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٠	FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GNE.2930R1C9	APPLICATION NO. 10/033,223
/	PE PROGRATION DISCLOSURE STATEMENT		
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	(USE SEVERAL SHEETS IF NECESSARY)	FILING DATE December 27, 2001	GROUP 1656
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				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING (IF APPR	DATE OPRIATE
	1.	5,536,637	07/16/96	Jacobs				
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INITIAL					{	[]	YES	NO

FOREIGN PATENT DOCUMENTS							
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)								
		Gabrielli et al. (1995) A nuclear protein, synthesized in growth-arrested human hepatoblastoma cells, is a novel member of the short-chain alcohol dehydrogenase family. Eur. J. Biochem. 232:473-477.							
	3.	Klein et al. (1996) Selection for genes encoding secreted proteins and receptors. Proc. Natl. Acad. Sci. USA 93:7108-7113.							
	4.	Database search, Locus list: hum (349, 801 seqs, 66, 964, 548 aa), Mon Jan 7 16:12:32 2002 [BLASTP 2.2.1 [Jul-12-2001], NCBI] 5 pp.							
	5.	Database search, Locus list: hum - est (1, 803, 435 seqs, 6, 559, 376, 613 bp), Tue Jan 8 09:14:22 2002 [BLASTN 2.2.1 [Jul-12-2001], NCBI] 17 pp.							

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	EXAMINER	DATE CONSIDERED				
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.						